

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. Of:

SMITH et al.

Serial No.:

09/909,265

Filed:

July 19, 2001

For:

WAVELENGTH ROUTING AND SWITCHING . . .

Group.

2633

RECEIVED

DOCKET:

GSH 08-8925185

AUG 2 0 2003

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 **Technology Center 2600**

PETITION TO CORRECT INVENTORSHIP UNDER 37 C.F.R. 1.48(a)

Applicant hereby petitions to correct the inventorship of the above-identified application by adding as inventor the following individual: Robert Alan (sic) Nadon, 197 Crichton Street, Ottawa, Ontario ON K1M 1W, Canada, a citizen of Canada.

The following documents accompany this Petition in support thereof:

- 1. A Consent of Named Inventors signed by the originally named inventors of the instant application, Anthony Vernon Walker Smith, Jonathan Titchener, and John Peter Guy, to add Mr. Robert Alan (sic) Nadon as a fourth co-inventor;
- 2. Statement of Robert Alan (sic) Nadon in Support of Request to Correct Inventorship;
- 3. A combined Declaration and Power of Attorney signed by the actual inventors; and

08/19/2003 DTESSEM1 00000012 09909265

01 FC:1460

130.00 DP

HAYES SOLOWAY P.C.

130 W. CUSHING ST. TUCSON. AZ 85701 TEL. 520.882.7623 FAX. 520.882.7643

175 CANAL STREET MANCHESTER, NH 03101 TEL, 603,668,1400 FAX, 603,668,8567

Serial No. 09/909,265 Docket No. GSH 08-892185 Petition to Correct Inventorship

4. A Written Statement by the Assignee of the instant application, Innovance, Inc., consenting to correction of inventorship as set forth in this Petition.

As set forth in the accompanying Consent of Named Inventors, by the originally named inventors, Robert Alan (sic) Nadon was not named as an inventor of the above identified application through inadvertent error, without deceptive intent on the part of the actual inventors of said application or anyone involved with preparation or prosecution thereof.

It is respectfully requested that the Commissioner grant this Petition.

Additionally, enclosed is a Change of Name of Assignee from Innovance Networks to Innovance, Inc. A Statement of Vice President Todd Barrett Kimmel is attached to Form PTO-1595.

Also enclosed for recordal is an Assignment, affixed to an Assignment Recordal Cover Sheet, which has been executed by Robert Alan (sic) Nadon.

A Form PTO-2038 in the amount of \$210.00 is enclosed to cover the \$130.00 Petition Fee required under 37 C.F.R. 1.17(h) and the Assignment and Name Change recordal fees.

In the event there are any fees deficiencies or additional fees are payable, please charge them (or credit any overpayment) to our Deposit Account No. 08-1391.

Respectfully submitted,

Norman P. Šoloway

Attorney for Applicant

Reg. No. 24,315

HAYES SOLOWAY P.C. 130 W. CUSHING ST.

TUCSON, AZ 85701 TEL. 520.882.7623 FAX. 520.882.7643

175 CANAL STREET MANCHESTER, NH 03101 TEL. 603.668.1400 FAX. 603.668.8567

Serial No. 09/909,265 Docket No. GSH 08-892185 Petition to Correct Inventorship



CERTIFICATE OF MAILING

I certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on fugust 15, 2003, at Tucson, Arizona.

By:

NPS:sb

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AUG 2 0 2003

Technology Center 2600

HAYES SOLOWAY P.C.

130 W. CUSHING ST. TUCSON, AZ 85701 TEL. 520.882.7623 FAX. 520.882.7643

175 CANAL STREET MANCHESTER, NH 03101 TEL. 603.668.1400 FAX. 603.668.8567

| 0 7 7 7 7 | | | |
|-------------------------------|--|-----------------------|------------------------|
| AUG 18 ZOUS ZOUS ZOUS TH | HE UNITED STATES PATE | NT AND TRADEMA | ARK OFFICE |
| TRANSPORTER | Anthony Vernon Walker S John Peter GUY | MITH, Jonathan T | ITCHENER and |
| Serial No. | 09/909,265 | | |
| Filed: Title: | July 19, 2001 Wavelength Routing and Transport Network | Switching Mechan | ism for a Photonic |
| Art Unit: | 2633 | | |
| Examiner: Assignee: | N/A Innovance Inc. | | |
| Assignee. | illiovance nic. | | |
| RE: | REQUEST TO CORRECT 37 C.F.R. 1.48(a) | | |
| | Consent Of Named Invento | rs to Addition of a F | ourth Co-Inventor |
| Assistant Cor Washington [| mmissioner for Patents DC. 20231 | | RECEIVED |
| Dear Sir: | | | AUG 2 0 2003 |
| Dear Sir. | | | Technology Center 2600 |
| John Peter G | Vernon Walker SMITH, Jor SUY are the inventors named above-identified US Patent | d in the Declaration | and |
| | entified US Patent Applicati ached copies of the docume | | ed to Innovance Inc., |
| this patent ap | onsent to the addition of Mr. plication. The omission of Nn n as filed was inadvertent and | r. Robert NADON ه | as a co-inventor in |
| Respectfully s | submitted: | | |
| | first inventor: Anthony Vern | | oate:×21/ 3 /03 |
| Full name of | second inventor: <u>Jonathan</u> intor's Signature | | Date <u>.∕</u> |
| | third inventor: John Peter G | | |

___ Date<u>: × 22/7/03</u>

Third Inventor's Signature X

OIPE CON NO 18 2013

| O, G | 1/2 | | |
|---------------|---------------|---|-------------------------------|
| MIR 1 B SOLIS | | HE UNITED STATES PATENT AND TRADE | MARK OFFICE |
| TRANSLUGA | Inventors: | Anthony Vernon Walker SMITH, Jonathan John Peter GUY | TITCHENER and |
| | Serial No. | 09/909,265 | |
| | Filed: | July 19, 2001 | |
| | Title: | Wavelength Routing and Switching Mecha | anism for a Photonic |
| | | Transport Network | |
| | Art Unit: | 2633 | |
| | Examiner: | N/A | |
| | Assignee: | Innovance Inc. | |
| - | RE: | REQUEST TO CORRECT INVENTORSHIP 37 C.F.R. 1.48(a) | PURSUANT TO |
| | | Consent Of Named Inventors to Addition of a | Fourth Co-Inventor |
| | Assistant Co | ommissioner for Patents | RECEIVED |
| | Washington | DC. 20231 | aug 2 0 2003 |
| | Dear Sir: | | Technology Center 2600 |
| | John Peter | y Vernon Walker SMITH, Jonathan TITCHENE GUY are the inventors named in the Declaration above-identified US Patent Application. | |
| | | dentified US Patent Application has been assigntached copies of the documents of title. | gned to Innovance Inc., |
| | this patent a | consent to the addition of Mr. Robert Alan NAD pplication. The omission of Mr. Robert NADOI on as filed was inadvertent and done without det. | N as a co-inventor in |
| | Respectfully | submitted: | |
| | Full name o | f first inventor: <u>Anthony Vernon Walker SMITH</u> | |
| | First Invento | or's Signature | _Date <u>:</u> |
| | Full name o | f second inventor: Jonathan TITCHENER | , |
| | | entor's Signature | _ Date <u>: 7 24 2003</u> |
| | Full name o | f third inventor: John Peter <u>GUY</u> | |
| | | | Date: |
| | i nira invent | or's Signature | _ Date: |



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Anthony Vernon SMITH, Jonathan TITCHENER and

John Peter GUY

Serial No.

09/909,265

Filed:

July 19, 2001

Art Unit: Examiner: 2633

N/A

Assignee:

Innovance Inc.

RECEIVED

AUG 2 0 2003

Technology Center 2600

Statement of Robert Alain NADON in support of REQUEST TO CORRECT INVENTORSHIP PURSUANT TO 37 C.F.R. 1.48(a):

Assistant Commissioner for Patents Washington DC. 20231

Dear Sir:

I, Robert Alain NADON, submit this statement for correcting the inventorship of the invention claimed in the above-identified Patent Application (hereinafter referred to as "the Application").

When the present Application was filed, Anthony Vernon SMITH, Jonathan TITCHENER and John Peter GUY were named the inventors. The inventors have assigned the Application to Innovance Inc.

However, it has come recently to the Applicant's attention that the undersigned should be added as an inventor in the Application.

The Applicant has further reviewed the inventorship of the Application with the undersigned and the currently named inventors, and has determined that the coinventors of the claims as filed and now pending in this application are Anthony Vernon Walker SMITH, Jonathan TITCHENER, John Peter GUY and Robert Alain NADON.

I hereby declare that the error which caused the inventive entity to be set forth in error in the executed Declaration filed on July 219, 2001 under 37 CFR 1.63 in the above-identified Patent Application occurred without deceptive intention on my part.

I hereby also declare that all statements made herein of my own knowledge are true and that all statements made on belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine and imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful statements may jeopardize the validity of the application or any patent issued thereon.

<u>^ July />, Coo3</u> Date -

Robert Alain NADON

X APRILIA U DIACONESCU

(Signature of Witness)



ECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

| Attorney Docket N | To: <u>15-979</u> | | |
|---|--|--|--|
| First Named Inven | tor: Anthony Verno | n Walker SMITH | |
| Complete if know | n: <u>SN 09/909,265</u> | Filing Date: <u>July 19, 2001</u> | |
| Group Art Unit: _ | 2633 | Examiner: | RECEIVED |
| | | | AUG 2 0 2003 |
| As a below named | inventor, I hereby of | lectare that: | Technology Center 2600 |
| My residence, pos | t office address and | citizenship are as stated below next | |
| original, first and j is claimed and for | oint inventor (if plu which a patent is so | le inventor (if only one name is listeral names are listed below) of the sunght on the invention entitled WAV PHOTONIC TRANSPORT NETWORK | bject matter which |
| the specification of | of which was filed o | n July 19, 2001 and received SN 09 | /909,265. |
| * · · · · · · · · · · · · · · · · · · · | | understand the contents of the above amended by any amendment referre | |
| • | • | ormation which is material to the ex 7, Code of Federal Regulations, S. 1 | |
| application(s) for papplication which listed below and h certificate or of an | patent or inventor's or designated at least of ave also identified b | s under 35 U.S.C. 119(a)-(d) or 3650 certificate, or 365(a) of any PCT into one country other than the United Stoelow any foreign application for parapplication having a filing date befored: | ernational ates of America, tent or inventor's |
| Prior Foreign App | lication(s): | Priority Claim | |
| (Number) | (Country) | (Month/Day/Year Filed) | |
| (Number) | (Country) | (Month/Day/Year Filed) | No Yes No |

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below: Application No: Filing Date: I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application: US Parent Application No. Parent Filing Date Parent Patent Number or PCT Parent Appln. No. (if applicable) And I hereby appoint HAYES SOLOWAY P.C., a firm composed of Oliver W. Hayes, Reg. No. 15,867; William O. Hennessey, Reg. No. 32,032; Peter W. Murphy, Reg. No. 43,822; Jeffrey T. Placker, Reg. No. 47,862, or any of them, of 175 Canal Street, Manchester, New Hampshire 03101 (Telephone: 603-668-1400); or Norman P. Soloway, Reg. No. 24,315; Kevin M. Drucker, Reg. No. 47,537; or Ashley L. Kirk, Reg. No. 51,261, or any of them, of 130 W. Cushing Street, Tucson, Arizona 85701 (Telephone: 520-882-7623) my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent Office connected therewith. Please direct all future correspondence in connection with this application to the attention of Norman P. Soloway, HAYES SOLOWAY P.C., 130 W. Cushing Street, Tucson, Arizona 85701 (Telephone: 520-882-7623). I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. Full name of first inventor: Anthony Vernon Walker SMITH First Inventor's Signature 75 Lyttleton Gardens, Ottawa, Ontario, K1L 5A4, Canada Residence: Citizenship: **British** Post Office Address: Same as Residence Full name of second inventor: Jonathan TITCHENER Second Inventor's Signature X Residence: 801 Tallwood Lane, GreenBrook, New Jersey, 08812, U.S.A

Citizenship:

British Post Office Address: Same as Residence

| Full name of the | hird inventor: John Peter GUY | 1 -0/0/-2 |
|------------------|---|-------------------------|
| Third Inventor | 's Signature X | Date: X 22/7/03 |
| Residence: | 5 Queensline Drive, Nepean, Ontario, K2H 7J1, Can | ada |
| Citizenship: | Canadian | |
| Post Office Ad | ldress: Same as Residence | |
| | | |
| | | |
| Full name of f | ourth inventor: Robert Alain-NADON | 1110- 0 |
| Fourth Invento | or's Signature X | _ Date: X July 15, 2003 |
| | 197 Crichton St., Ottawa, ON K1M 1W | <u> </u> |
| Citizenship: | Canadian | |
| Post Office Ad | ldress: Same as Residence | |

IMPORTANT NOTICE RE DUTY OF CANDOR AND GOOD FAITH

The Duty of Disclosure requirements of Section 1.56(a), of Title 37 of the Code of Federal Regulations are as follows:

A duty of candor and good faith toward the Patent and Trademark Office rests on the inventor, on each attorney or agent who prepares or prosecutes the application and on every other individual who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application. All such individuals have a duty to disclose to the Office information they are aware of which is material to the examination of the application. Such information is material where there is a substantial likelihood that a reasonable examiner would consider it important in deciding whether to allow the application to issue as a patent. The duty is commensurate with the degree of involvement in the preparation or prosecution of the application.

By virtue of this regulation each inventor executing the Declaration for the filing of a Patent Application acknowledges his duty to disclose information of which he is aware and which may be material to the examination of the application.

Inherent in this is the duty to disclose any knowledge or belief that the invention:

- (a) was ever known or used in the United States of America before his invention thereof;
- (b) was patented or described in any printed publication in any country before his invention thereof or more than one year prior to the actual filing date of the U.S. patent application;
- (c) was in public use or on sale in the United States of America more than one year prior to the actual filing date of the U.S. patent application; or
- (d) has been patented or made the subject of inventor's certificate issued before the actual filing date of the U.S. patent application in any country foreign to the United States of America on an application filed by him or his legal representatives or assigns more than twelve months before the actual filing date in the United States.

NOTE: The "Information" concerned includes, but is not limited to, all published applications and patents, including applicant's and assignee's own, U.S. or foreign applications and patents, as well as any other pertinent prior art known, or which becomes known, to the inventor or his representatives. Where English language equivalents of foreign language documents are known, they should be identified and, when possible, copies supplied. Failure to comply with this requirement may result in a patent issued on the application being held invalid even if the known prior art which is not supplied is material to only one claim of that patent.



DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

| · · · · · · · · · · · · · · · · · · · | - | · | |
|---|---|--|---|
| Attorney Docket N | o: <u>15-979</u> | | RECEIVED |
| First Named Invent | or: Anthony Vernor | 1 Walker SMITH | |
| Complete if known | :_SN 09/909,265 | Filing Date: <u>July 19, 2001</u> | AUG 2 0 2003 |
| Group Art Unit: | 2633 | Examiner: | Technology Center 2600 |
| As a below named | inventor, I hereby d | eclare that: | |
| My residence, post | office address and o | citizenship are as stated below nex | t to my name. |
| original, first and jo is claimed and for v | oint inventor (if plur which a patent is sou | e inventor (if only one name is list all names are listed below) of the sught on the invention entitled WAY PHOTONIC TRANSPORT NETWORK | ubject matter which VELENGTH ROUTING |
| the specification o | f which was filed or | July 19, 2001 and received SN 09 | 9/909,265. |
| • | | understand the contents of the abounded by any amendment referr | |
| | | ormation which is material to the e, Code of Federal Regulations, S. | |
| application(s) for p application which of listed below and hat certificate or of any | atent or inventor's c designated at least o we also identified be | under 35 U.S.C. 119(a)-(d) or 365 ertificate, or 365(a) of any PCT in ne country other than the United Selow any foreign application for parapplication having a filing date be d: | ternational tates of America, atent or inventor's |
| Prior Foreign Appl | ication(s): | Priority Clain | Certified Copy ned Attached |
| (Number) | (Country) | - | No Yes No |
| (Number) | (Country) | ☐ Yes ☐ (Month/Day/Year Filed) | No Yes No |

| I hereby claim the benefit under application(s) listed below: | 35 U.S.C. 119(e) of any United States provisional |
|---|---|
| Application No: | Filing Date: |
| of any PCT international application and, insofar as the subject matter the prior United States or PCT I paragraph of 35 U.S.C 112, Lac to patentability as defined in 37 | 235 U.S.C. 120 of any United States application(s), or 365(c) ation designating the United States of America, listed below or of each of the claims of this application is not disclosed in international application in the manner provided by the first knowledge the duty to disclose information which is material CFR 1.56 which became available between the filing date of this application: |
| US Parent Application No. or PCT Parent Appln. No. | Parent Filing Date Parent Patent Number (if applicable) |
| No. 15,867; William O. Hennes Jeffrey T. Placker, Reg. No. 47, Hampshire 03101 (Telephone: Kevin M. Drucker, Reg. No. 47 130 W. Cushing Street, Tucson, | SOLOWAY P.C., a firm composed of Oliver W. Hayes, Reg. sey, Reg. No. 32,032; Peter W. Murphy, Reg. No. 43,822; 862, or any of them, of 175 Canal Street, Manchester, New 603-668-1400); or Norman P. Soloway, Reg. No. 24,315; ,537; or Ashley L. Kirk, Reg. No. 51,261, or any of them, of Arizona 85701 (Telephone: 520-882-7623) my attorneys and revocation, to prosecute this application and to transact all nnected therewith. |
| | ndence in connection with this application to the attention of SOLOWAY P.C., 130 W. Cushing Street, Tucson, Arizona 23). |
| statements made on information statements were made with the are punishable by fine or impris | ents made herein of my own knowledge are true and that all and belief are believed to be true; and further that these knowledge that willful false statements and the like so made conment, or both, under Section 1001 of Title 18 of the United al false statements may jeopardize the validity of the thereon. |
| Full name of first inventor: Ant First Inventor's Signature Residence: 75 Lyttleton Gar | hony Vernon Walker SMITH Date: dens, Ottawa, Ontario, K1L 5A4, Canada |
| Citizenship: <u>British</u> Post Office Address: <u>Same as R</u> | <u>Lesidence</u> |
| Full name of second inventor: J. Second Inventor's Signature Residence: 801 Tallwood La Citizenship: British Post Office Address: Same as R. | Date: $7/2\psi/2007$ ane, GreenBrook, New Jersey, 08812, U.S.A |

| Full name of t | hird inventor: John Peter GUY | |
|----------------|--|---------|
| Third Inventor | 's Signature | Date: |
| Residence: | 5 Queensline Drive, Nepean, Ontario, K2H 7J1, Cana | ada |
| Citizenship: | Canadian | |
| Post Office Ad | ldress: Same as Residence | |
| | | |
| | | |
| Full name of f | ourth inventor: Robert Alain NADON | |
| Fourth Invento | or's Signature | _ Date: |
| Residence: | 197 Crichton St., Ottawa, ON K1M 1W | |
| Citizenship: | Canadian | |
| Post Office Ac | ldress: Same as Residence | |

IMPORTANT NOTICE RE DUTY OF CANDOR AND GOOD FAITH

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By virtue of this regulation each inventor executing the Declaration for the filing of a Patent Application acknowledges his duty to disclose information of which he is aware and which may be material to the examination of the application.

Inherent in this is the duty to disclose any knowledge or belief that the invention:

- (a) was ever known or used in the United States of America before his invention thereof:
- (b) was patented or described in any printed publication in any country before his invention thereof or more than one year prior to the actual filing date of the U.S. patent application;
- (c) was in public use or on sale in the United States of America more than one year prior to the actual filing date of the U.S. patent application; or
- (d) has been patented or made the subject of inventor's certificate issued before the actual filing date of the U.S. patent application in any country foreign to the United States of America on an application filed by him or his legal representatives or assigns more than twelve months before the actual filing date in the United States.

NOTE: The "Information" concerned includes, but is not limited to, all published applications and patents, including applicant's and assignee's own, U.S. or foreign applications and patents, as well as any other pertinent prior art known, or which becomes known, to the inventor or his representatives. Where English language equivalents of foreign language documents are known, they should be identified and, when possible, copies supplied. Failure to comply with this requirement may result in a patent issued on the application being held invalid even if the known prior art which is not supplied is material to only one claim of that patent.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors:

Anthony Vernon Walker SMITH, Jonathan TITCHENER and

John Peter GUY

Serial No.

09/909,265

Filed:

July 19, 2001

Title:

Wavelength Routing and Switching Mechanism for a Photonic

Transport Network

Art Unit:

2633

Examiner:

N/A Innovance, Inc.

Assignee:

REQUEST TO CORRECT INVENTORSHIP PURSUANT TO

RE:

37 C.F.R. 1.48(a)

CONSENT OF ASSIGNEE

Assistant Commissioner for Patents Washington DC. 20231

RECEIVED

AUG 2 0 2003

Dear Sir:

Technology Center 2600

I, Peter Allen am the President and CEO of Innovance, Inc.

The above-identified US Patent Application has been assigned to Innovance, Inc. as per the attached copies of the documents of title.

On behalf of Innovance, Inc., which is the Assignee of the above-identified US Patent Application I hereby consent to the addition of Mr. Robert Alan NADON as a co-inventor in this patent application. I understand that the omission of Mr. Robert NADON from the inventors listed in the Declaration filed on July 19, 2001 was inadvertent and done without deceptive intention on anyone's part.

This change results in Anthony Vernon Walker SMITH, Jonathan TITCHENER, John Peter GUY, and Robert Alan NADON being the inventors in the above-identified US Patent Application.

| Dated This | 6th Day of | August | , 2003 |
|------------|------------|--------|--------|
| | | | |

| Peter ALLEN, | |
|------------------|----------------------|
| President and CE | O of Innovance, Inc. |
| 1 1 | · |
| lete A | // |
| 10 10 1 | 110.4 |
| 1000 | .vea |

ASSIGNMENT

KNOW ALL MEN BY THESE PRESENTS, That Whereas

Anthony Vernon Walker SMITH; Jonathan TITCHENER and John Peter GUY whose full post office addresses are 75 Lyttleton Gardens, Ottawa, Ontario, Canada, K1L 5A4; 801 Tallwood Lane, GreenBrook, New Jersey, 08812, U.S.A.; and 5 Queensline Drive, Nepean, Ontario, K2H 7J1, Canada respectively has invented certain improvements in Wavelength Routing and Switching Mechanism for a Photonic Transport Network; and

WHEREAS Innovance Networks, having a place of business at 15 Corporate Place South, Piscataway, New Jersey, 08854, United States, hereinafter called ASSIGNEE, is desirous of acquiring the said application for Letters Patent of the United States, all inventions therein disclosed, and any and all Letters Patent of the United States and of all other countries which may be granted for the said inventions, or any of them;

NOW, THEREFORE, in consideration of the sum of one doilar (\$1.00) and other good and valuable considerations to me paid by ASSIGNEE, the receipt whereof is hereby acknowledged, I do hereby sell, assign, transfer and set over unto ASSIGNEE, its successors and assigns, the entire right, title and interest in, to and under the said application for United States Letters Patent, including all priority rights arising therefrom, all inventions therein disclosed, and any and all Letters Patent of the United States and of all other countries which may be granted for the said inventions, or any of them.

TO HAVE, HOLD AND ENJOY the said inventions, the said application, and the said Letters Patent to said ASSIGNEE, its successors and assigns, to its and their own use and behoof to the full end of the term or terms for which the said Letters Patent may be granted, as fully and entirely as the same would have been held and enjoyed by me had this assignment and sale not been made.

And I do hereby authorize and request the Commissioner of Patents of the United States to issue any United States Letters Patent which may be granted on the said application, or any divisional, reissue or continuation applications, or for the said inventions or any of them, to said ASSIGNEE, its successors and assigns, as assignees of the entire right, title and interest therein and thereto.

And I do hereby, for me and for my legal representatives, covenant and agree with ASSIGNEE, its successors and assigns, that I have granted to others no license to make, use or sell any of the said inventions, that my right, title and interest in the said inventions has not been encumbered, that I have good right and title to sell and assign the same, and that I will not execute any instrument in conflict herewith.

And I do hereby, for myself and for my legal representatives, further covenant and agree with ASSIGNEE, its successors and assigns, that upon request I will, and they shall, execute divisional, reissue or continuation applications, amended specifications, or rightful oaths; communicate to ASSIGNEE, its successors and assigns, any facts known to me relating to the said inventions or the history thereof; execute preliminary statements and testify in any interference proceedings; execute and deliver any application papers, assignments, or other instruments, and do all other acts which, in the opinion of counsel for ASSIGNEE, may be necessary or convenient to secure the grant of Letters Patent to ASSIGNEE, its successors and assigns, or its nominees, in the United States and in all other countries where ASSIGNEE may desire to have the said inventions, or any of them, patented, with specifications and claims in such form as shall be approved by counsel for ASSIGNEE, and to vest and confirm in ASSIGNEE, its successors and assigns, or its nominees, the full and complete and equitable title

to all such Letters Patent, without further consideration than now paid but at the expense of ASSIGNEE, its successors or assigns. IN WITNESS WHEREOF, I have hereunto set my hand and seal this 24 day of <u>Toly</u>, 2001. **CERTIFICATE OF WITNESS** I acknowledge that I was personally present and did see the above-named inventor, who is personally known to me, duly execute the above assignment on the date therein set forth. Name of Witness: J.P.604

Signature of Witness: 5 aversline Dr. Nepean, ON, Cunaday IN WITNESS WHEREOF, I have hereunto set my hand and seal this day of _______, 2001. **CERTIFICATE OF WITNESS** I acknowledge that I was personally present and did see the above-named inventor, who is personally known to me, duly execute the above assignment on the date therein set forth. Name of Witness: KRISHNA KANKZFA7z

Signature of Witness: K Name The Kilm.

Address of Witness: I FRANKLZN TOWN SIVN#1417

PHZLADELPHZA, PA 19103

. .

| • | |
|---|---|
| | - 3 - |
| | IN WITNESS WHEREOF, I have hereunto set my hand and seal this day of, 2001. |
| | John Peter GUY |
| | CERTIFICATE OF WITNESS |
| | I acknowledge that I was personally present and did see the above-named inventor, who is personally known to me, duly execute the above assignment on the date therein set forth. |
| | Name of Witness:Stephan Meyer |
| | Name of Witness: |
| | Address of Witness: 50:-48 Arthur St. Ottawa, CN, kiR 77:5 |
| | |

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Statement of Todd KIMMEL

I, Todd Barrett KIMMEL, of the City of Ottawa, state the following:

- I am the Vice President, Business Development of Innovance, Inc. who is the 1. assignee of the applications identified in the Appendix A.
- In each of the patent applications listed in Appendix A, an assignment from 2. the inventor(s) to Innovance Networks has been recorded.
- I confirm that Innovance Networks is a trademark of Innovance, Inc. The 3. official name of the company is Innovance, Inc., whose address is 15 Corporate Place South, Piscataway, NJ 08854, USA. Innovance Inc. and Innovance Networks are the same entity.
- I hereby declare that all statements made herein of my own knowledge are 4. true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Todd Barrett KIMMEL

VP Innovance, Inc.

Dav. 17/0 3

Notary Public

APPENDIX A

| No of | Appl. No. | Date of | Inventor | Title | Agent File No. | Innovance |
|----------|------------|------------|----------------|--|----------------|-----------|
| Assgts | | Filing | | | | Docket |
| ~ | 09/858,373 | May 16/01 | Walklin | Return to Zero Optical Modular with Configurable | GSH 08-891503 | 1007 |
| 6 | 00/076 204 | 1.00 7.01 | Poords of al | Architecture For a Photonic Transport Network | GSH 08-891773 | 1001 |
| 7 | 09/877 943 | June 8/01 | Solheim et al | Flectro-Subsystem For a Wavelength Division | GSH 08-891894 | 1000 |
| - | 26. | 5 | | Multiplexed Network | | |
| 1 | 09/909,265 | July 19/01 | Smith et al. | Wavelength Routing and Switching Mechanism | GSH 08-892185 | 1021 |
| | | | | for a Photonic Transport Network | | |
| - | 09/932,152 | Aug. 17/01 | May | Chromatic Dispersion Characterization | GSH 08-892484 | 1014 |
| 4 | 09/946,195 | Sep.5/01 | Johnson et al. | Using Resource Thresholds to Trigger Network | GSH 08-891504 | 1022 |
| | | | | Expansion | | |
| 1 | 09/946,576 | Sep.5/01 | Pham et al | Reconfigurable Access to a WDM Network | GSH 08-892411 | 1005 |
| _ | 09/975,362 | Oct.11/01 | Jones et al | Line Amplification System for Wavelength | GSH 08-892931 | 1004 |
| | | ٠ | | Switched Optical Networks | | |
| - | 10/002,773 | Nov.2/01 | Roorda et al | Architecture For an ADD/Drop Node of a WDM | GSH 08-893170 | 1006 |
| | | | | Optical Network | | |
| - | 10/017,833 | Dec.12/01 | Solhem et al | Wavelength Assignment in an Optical WDM | GSH 08-893451 | 1011 |
| | | | | Network | | |

Doc #406167.1